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Two centuries of the equations of commutativity and associativity of exponentiation

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Abstract. In this survey article we guide the reader through the solution of the commutative equation of exponentiation $x^y = y^x$ and that of the associative equation of exponentiation $x^{(y^z)} = (x^y)^z$. Various characterizations of the integer, rational, real and complex solutions are discussed together with some new results and open directions. The article is supplemented by a detailed and commented bibliography on the history of these equations.

 $Key\ words\ and\ phrases:$ commutativity of exponentiation, associativity of exponentiation, parametrizations of the solution set.

ZDM Subject Classification: F50, H30, I20.

1. Introduction and notation

The commutative equation of exponentiation possesses a long and rich history and has provided many of us with a fruitful source of challenging problems. As we will observe, several aspects of this equation have been discovered and rediscovered by many authors during the last two centuries. These results however often seem to be independent of each other or are scattered in the literature.

Our present discussion assembles the most noteworthy facts about the integer, rational, real and complex solutions of the equation

$$x^y = y^x \tag{1}$$

in Sections 2, 4 and 5 in order to enlighten the reader on this broad topic. Then,

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based on this *commutative* equation, positive integer, rational and real solutions of the *associative* equation

$$x^{(y^{z})} = (x^{y})^{z} \tag{2}$$

are derived in Section 3.

Finally, Section 6 comments on the results and known references collected in this survey and puts them into chronological order revealing some historical connections as well.

In order to keep the size of our exposition limited, known facts will only be stated, generally without repeating the proofs, though they are sometimes indicated to help the interested readers make their own discoveries.

In connection with (1), we will mainly focus on its *nontrivial* solutions, that is when $x \neq y$. Throughout the paper, dom f, ran f and $f^{[-1]}$ denote the domain of definition, the range and the inverse function of f, respectively. In the context of multiple-valued powers, lr stands for the real, one-valued natural logarithm, with dom lr = $(0, +\infty)$, moreover, arg z is the argument of the (complex) number z, with ran arg = $[0, 2\pi)$.

2. Positive commutative pairs

2.1. The positive real solutions of $x^y = y^x$

This section completely characterizes – via suitable parametrizations – all nontrivial positive real pairs (x, y) satisfying (1). Set

$$\psi(v) := v^{\frac{1}{v-1}} \qquad 0 < v \neq 1,$$

$$\chi_1(u) := \left(1 + \frac{1}{u}\right)^u \qquad u \in \mathbb{R} \setminus [-1, 0],$$

$$\chi_2(u) := \left(1 + \frac{1}{u}\right)^{u+1} \qquad u \in \mathbb{R} \setminus [-1, 0],$$

further, let W denote the principal branch of the Lambert W-function with dom $W = \left[-\frac{1}{e}, \infty\right)$ and ran $W = \left[-1, \infty\right)$ defined by

$$W(x) e^{W(x)} = x.$$

(Therefore, the real-valued W-function is nothing else than the inverse function of $x \mapsto x e^x$ ($x \ge -1$). It can be used to represent solutions to a variety of

transcendental equations. We remark that - as in the case of the logarithm and with suitable care - the W-function can be extended to the complex domain also.)

PROPOSITION 2.1. All positive nontrivial real solutions to $x^y = y^x$ are given by any of the following formulae.

$$(x, y) = (\psi(v), v \,\psi(v)) \qquad 0 < v \neq 1$$
 (3)

$$(x,y) = \left(\psi(v), \psi\left(\frac{1}{v}\right)\right) \quad 0 < v \neq 1 \tag{4}$$

$$(x,y) = (\chi_1(u), \chi_2(u)) \qquad u \in \mathbb{R} \setminus [-1,0]$$
(5)

$$(x, y) = (x, x \psi^{[-1]}(x)) \qquad 1 < x \neq e.$$
 (6)

Alternatively, if 0 < y < e < x, one has

$$(x,y) = \left(x, -\frac{x}{\ln x}W\left(-\frac{\ln x}{x}\right)\right).$$
(7)

PROOF. By introducing a positive real parameter $v := \frac{y}{x} > 0$, equation (1) can be rewritten as $x^{vx} = (vx)^x$. Raising this to the power $\frac{1}{x}$, we get the crucial relation

 $vx = x^v$.

v = 1 (i.e. x = y) yields the trivial solutions. If $0 < v \neq 1$, then $\ln v + \ln x = v \ln x$ hence $\ln x = \ln v^{\frac{1}{v-1}}$ thus $x = \psi(v)$ and $y = vx = v \psi(v)$, proving (3). A direct computation shows that $v \psi(v) = \psi(\frac{1}{v})$, which justifies (4). As for (5), apply the substitution $u := \frac{1}{v-1}$. (6) is simply obtained from (3) by using the definition of the inverse function $\psi^{[-1]}$. For (7), analyse carefully the defining equations (together with the domains and ranges) of $\psi^{[-1]}$ and W to prove the relation $W\left(-\frac{\ln x}{x}\right) = -\psi^{[-1]}(x) \cdot \ln x$ for x > e, then use (6). It can be easily verified that (3)–(7) are solutions of (1) indeed. \Box

Remark 1. If $0 < v \neq 1$, then $\psi(v)$ is the number for which multiplication by v or raising to the power v amounts to the same thing, since $v \psi(v) = \psi(v)^v$.

Remark 2. According to (4), a solution (x, y) is transformed into the solution (y, x) by the substitution $v \mapsto \frac{1}{v}$.

Remark 3. Symmetry of the solutions manifests itself in the fact, for example, that the function $x \psi^{[-1]}(x)$ is equal to its own inverse, in other words,

$$x\,\psi^{[-1]}(x)\cdot\psi^{[-1]}\left(x\,\psi^{[-1]}(x)\right) = x$$

holds true for $1 < x \neq e$. (Deducing this property directly from the definition of $\psi^{[-1]}$ is instructive.) Symmetry also implies that the graphs of χ_1 and χ_2 are reflections of each other about the line $x = -\frac{1}{2}$ in the *x-y* plane, that is $\chi_1(-u-1) = \chi_2(u)$ and $\chi_2(-u-1) = \chi_1(u)$.

Remark 4. Somewhat surprisingly, the upper left part of the nontrivial curve on Figure 1 can not be obtained from (7), since the right hand side of (7) gives trivial solutions for $x \in (0, e) \setminus \{1\}$, that is $-\frac{x}{\ln x} W\left(-\frac{\ln x}{x}\right) = x$ here.



Figure 1. The graph of positive trivial and nontrivial solutions of $x^y = y^x$

Finally, we phrase some simple consequences.

PROPOSITION 2.2. $x^y = y^x$ can not hold if $x \neq y$ and at least one of them is in $(0,1] \cup \{e\}$. Similarly, if x, y > e and $x \neq y$, then $x^y = y^x$ does not admit any solutions. Moreover, if $x^y \leq e^e$ and $x \neq y$, then $x^y \neq y^x$.

PROOF. The first two statements can be deduced from Figure 1. To verify the third one, substitute (3) into x^y to get

$$\psi(v)^{v\,\psi(v)} = v^{\frac{v(\frac{v}{v-1})}{v-1}},$$

and prove that the range of this function is the interval $(e^e, +\infty)$.

2.2. Positive integer and rational solutions

Now let us turn our attention to the nontrivial positive integer and positive rational solutions of $x^y = y^x$. A nice elementary number theoretical proof in the recent literature can be found in [30] or in [32]. We just repeat the main results here.

PROPOSITION 2.3. All positive nontrivial rational solutions (x, y) to $x^y = y^x$ are given by

$$(x,y) = \left(\left(1 + \frac{1}{n}\right)^n, \left(1 + \frac{1}{n}\right)^{n+1} \right) \equiv (\chi_1(n), \chi_2(n))$$

$$n \in \mathbb{Z} \setminus \{-1, 0\},$$
(8)

while the positive nontrivial integer solutions are only (2, 4) and (4, 2).

A curiosity about formula $(\chi_1(u), \chi_2(u))$ will be highlighted in Section 6.

3. Positive associative triples

In order to determine all positive real triples (x, y, z) for which $(x^y)^z = x^{(y^z)}$ holds, notice that the left hand side is equal to x^{yz} . If $x \neq 1$, then we have $yz = y^z$. But this is the very equation we have encountered in the commutative case – see the proof of Proposition 2.1. If z = 1, then every positive y is a solution, otherwise $y = \psi(z)$. Therefore we have all positive real triples for which exponentiation is associative (see Figure 2):

> $(1, y, z) \qquad \text{with } y, z > 0,$ (x, y, 1) with $x, y > 0, x \neq 1$, $(x, \psi(z), z)$ with $x, z > 0, x \neq 1, z \neq 1$.

223



Figure 2

3.1. Positive integer and rational solutions

PROPOSITION 3.1. Positive rational powers are associative if and only if the triple (x, y, z) falls into one of the four categories below:

 $\begin{array}{ll} (1,y,z) & \text{with rational } y,z > 0, \\ (x,y,1) & \text{with rational } x,y > 0, \ x \neq 1, \\ & \left(x, \left(1+\frac{1}{n}\right)^n, \frac{n+1}{n}\right) & \text{with rational } 0 < x \neq 1 \quad \text{and } n \in \mathbb{N}^+, \\ & \left(x, \left(1+\frac{1}{n}\right)^{n+1}, \frac{n}{n+1}\right) & \text{with rational } 0 < x \neq 1 \quad \text{and } n \in \mathbb{N}^+. \end{array}$

PROOF. The first two cases are trivial, while the other two follow from the formula $(x, \psi(z), z)$, since z > 0 and $\psi(z)$ are rational by assumption, and comparing formulae (3) and (5), further using Proposition 2.3 yield that $\psi(z) = \chi_1(n)$ or $\psi(z) = \chi_2(n)$ must hold with some $n \in \mathbb{N}^+$, but ψ is monotone decreasing, hence we have $z = \frac{n+1}{n}$ or $z = \frac{n}{n+1}$ for some $n \in \mathbb{N}^+$.

PROPOSITION 3.2. Positive integer solutions to (2) are given by the following triples:

(1, y, z)	with integers $y, z > 0$,
(x, y, 1)	with integers $x, y > 0, x \neq 1$,
(x, 2, 2)	with integer $x > 1$.

4. Real commutative pairs

In order to extend the investigation of $x^y = y^x$ to $x, y \in \mathbb{R} \setminus \{0\}$, powers will be interpreted as multiple-valued complex expressions, i.e.

$$x^{y} := \left\{ e^{y(\ln|x| + i \cdot \arg x + 2\pi ki)} : k \in \mathbb{Z} \right\} \subset \mathbb{C}.$$

Definition 4.1. We say that a real pair (x, y) $(x, y \in \mathbb{R} \setminus \{0\})$ is a solution to $x^y = y^x$, if $x^y \cap y^x \neq \emptyset$.

It is shown in [10] that the set

$$C := \{ (x, y) : x, y \in \mathbb{R} \setminus \{0\}, \ x \neq y, \ x \ln |y| = y \ln |x| \}$$

is the union of four curves C_j (j = 1, 2, 3, 4), lying in the j^{th} quadrant, see Figure 3 (though C_1 and C_3 have two points missing: (e, e) and (-e, -e), respectively). As we have seen, C_1 is a subset of the nontrivial solution set

$$S := \{ (x, y) : x, y \in \mathbb{R} \setminus \{0\}, \ x \neq y, \ x^y \cap y^x \neq \emptyset \},\$$

and an elementary argument shows in [10] that $S \subset C$. [21] proves that S is countably dense on $C \setminus C_1$. However, it is important that $S \cap C_j \neq C_j$ (j = 2, 3, 4). The necessary and sufficient condition for a real pair (x, y) to be a nontrivial solution is given implicitly by the following proposition.

PROPOSITION 4.2 (see [10], cf. [21]). $(x, y) \in S$ if and only if

$$(x, y) \in C_j \quad (j \in \{1, 2, 3, 4\})$$



Figure 3. Not all points on the curves C_2 , C_3 , C_4 are solutions of $x^y = y^x$

and there exist integers $k, m, n \in \mathbb{Z}$ such that (x, y) satisfies the j^{th} equation below:

1. kx = my + n2. 2kx = (2m + 1)y + 2n3. (2k + 1)x = (2m + 1)y + 2n4. (2k + 1)x = 2my + 2n.

Positive and negative *rational* solutions of (1) have also been described – explicitly – in [22]. The interested reader can reconstruct them on one's own. There is, however, a subtle difference: while $C_1 \cap (\mathbb{Q} \times \mathbb{Q}) \cap S = C_1 \cap (\mathbb{Q} \times \mathbb{Q})$, this is no longer true for C_3 , even though $C_3 = -C_1$. (Propositions 2.3 and 4.2 help.) In contrast, C_2 and C_4 behave similarly, so the following proposition – whose proof closely follows that of (3) – suffices.

PROPOSITION 4.3.

$$C_4 = \left\{ \left(v^{-\frac{1}{\nu+1}}, -v \cdot v^{-\frac{1}{\nu+1}} \right) : 0 < v \right\},\tag{9}$$

$$C_4 \cap (\mathbb{Q} \times \mathbb{Q}) = \{(1, -1)\}.$$
(10)

COROLLARY 4.4. If $(x, y) \in C_4$ and $x \in \mathbb{Q} \setminus \{1\}$, then $y \in \mathbb{R} \setminus \mathbb{Q}$.

Finally, note that the second sentence of Theorem 2 in [21] incorrectly states that if $(x, y) \in S \setminus C_1$ then x and y are both rational or both irrational. (In addition, there are other (typographical) errors in the counterpart of Proposition 4.2 in [21], but those do not affect the flaw in the proof of Theorem 2.) The proof in [21] misses the case m = 0 in the fourth equation in Proposition 4.2, for example. A counterexample is k := 0, m := 0, n := 1, because then x = 2 is rational, but the corresponding solution y = -0.7666646... obtained from (9) must be irrational by Corollary 4.4. At the same time, this observation also answers affirmatively the open question in the same paper [21] by showing that there exist a rational number x and an irrational number y such that $(x, y) \in S$.

5. Complex commutative pairs

Now consider the equation

$$z^w = w^z \tag{11}$$

in the sense of Definition 4.1 with $z, w \in \mathbb{C} \setminus \{0\}$.

PROPOSITION 5.1. $z^w = w^z$ is equivalent to demanding that there exist integers $k, l, m \in \mathbb{Z}$ such that

$$-|z| \cos \zeta \cdot \ln |w| + |w| \cos \omega \cdot \ln |z|$$
$$+ (2 l \pi + \omega) |z| \sin \zeta - (2 k \pi + \zeta) |w| \sin \omega = 0$$

and

$$-|z| \sin \zeta \cdot \ln |w| + |w| \sin \omega \cdot \ln |z|$$
$$+ (2 k \pi + \zeta) |w| \cos \omega - (2 l \pi + \omega) |z| \cos \zeta - 2 m \pi = 0,$$

where $\zeta := \arg z$ and $\omega := \arg w$.

Proof. Use the definitions of multiple-valued powers, then take real and imaginary parts. $\hfill \Box$

Due to the complex nature of these equations, no attempt is made here to give the general solutions of (11). However, existence can be established without much effort.

227

PROPOSITION 5.2. For every $w \in \mathbb{C} \setminus \{0,1\}$ equation (11) has a nontrivial solution.

PROOF. The beginning of the proof is the same as in [2]. $z^w = w^z$ is equivalent to demanding that $\frac{\ln z}{z} = \frac{\ln w}{w} + \frac{2\pi m i}{zw}$ holds for some $m \in \mathbb{Z}$. It is sufficient to take m = 0 for now. Let $w \in \mathbb{C} \setminus \{0, 1\}$ be arbitrary, and let $A := \frac{\ln w}{w}$, for some value of the logarithm. (The original proof in [2] then went on by showing that the two polar curves defined by the real and imaginary parts of the equation $\frac{\ln z}{z} = A$ always intersect. More than a century later, we could give a different proof.) The exponential substitution $z =: e^s$ transforms the equation $\frac{\ln z}{z} = A$ into $s \cdot e^{-s} = A$. For A = 0 (that is, for w = 1), there are only finitely many solutions, namely s = 0 (that is z = 1). Hence, by virtue of Picard's theorem, $s \cdot e^{-s} = A$ must have infinitely many solutions for $A \neq 0$. It is seen that these have to be different modulo $2\pi i$, thus $z = e^s$ possesses infinitely many different values. This proves that for any $w \in \mathbb{C} \setminus \{0, 1\}$ there exists $z \in \mathbb{C} \setminus \{0, 1\}, z \neq w$, such that $z^w \cap w^z \neq \emptyset$.

Remark 5. It is interesting to note that (11) has nontrivial solutions even for w = 1. Indeed, suppose $z = re^{i\varphi}$ with r > 0 and $\varphi \in [0, 2\pi)$. Then $1^z = z^1$ is equivalent to $2\pi k \cdot r \cos \varphi = \varphi + 2\pi l$ and $-2\pi k \cdot r \sin \varphi = \ln r$, for some $k, l \in \mathbb{Z}$, which admits a solution $k = 1, l = 0, r = 0.261739..., \varphi = 0.952826...$, for example. Figure 4 shows some other solutions for different values of k and l.

Now we construct infinitely many nontrivial solutions of $z^w = w^z$.

PROPOSITION 5.3. For every $v \in \mathbb{C} \setminus \{0, 1\}$ the complex analogue of (3) yields a nontrivial solution to (11).

PROOF. Use the principal value of the expression $v^{\frac{1}{v-1}}$.

Example 1. Let v := 1 + i. Then $v^{\frac{1}{v-1}} = (1+i)^{-i}$ and (using approximate values) we have $z := 2.06287 - 0.745007i \in (1+i)^{-i}$, and $w := v z = 2.80788 + 1.31787i \in (1+i)^{1-i}$. Further, one can show that $z^w \ni 14.298 + 0.886354i \in w^z$ holds.

The analogy with formula (3) is not complete, however. Namely, we have shown there if x, y > 0 and $x \neq y$ are given positive numbers such that $x^y = y^x$, then $x = v^{\frac{1}{v-1}}$ for $v := \frac{y}{x}$. We have discovered (effectively using Proposition 5.1



Figure 4. Some nontrivial solutions of $1^z = z^1$

and the computing power of *Mathematica*) that this is no longer true in the complex case.

Example 2 (Values are correct to 8-digit precision). There exist $z, w \in \mathbb{C} \setminus \{0,1\}, z \neq w$ such that $z^w \cap w^z \neq \emptyset$, but $z \notin v^{\frac{1}{v-1}}$ for $v := \frac{w}{z}$. Indeed, let

z := 0.33300028 - 0.01584562i and w := 0.66600056 - 0.03169125i,

then $v := \frac{w}{z} = 2$ and $z^w \ni -0.35447941 + 0.62145363i \in w^z$, but clearly, $z \notin v^{\frac{1}{v-1}} = \{2\}.$

Scrutinizing the operations in the proof of (3) reveals the explanation. The main point is that well-known identities in the positive reals are weakened in the complex case, for example, only $a^{bc} \subset (a^b)^c$ holds for general complex numbers.

Thus it is natural to ask finally whether every nontrivial solution of $z^w = w^z$ can be obtained from $(v^{\frac{1}{v-1}}, v \cdot v^{\frac{1}{v-1}})$ by suitably choosing (possibly different) values of $v^{\frac{1}{v-1}}$:

Open Problem. Is it true that given $z, w \in \mathbb{C} \setminus \{0\}$ arbitrarily such that $z \neq w$ and $z^w \cap w^z \neq \emptyset$ hold, there exist $v \in \mathbb{C} \setminus \{0, 1\}$ and $z_1 \in v^{\frac{1}{v-1}}, w_1 \in v \cdot v^{\frac{1}{v-1}}$ such that $z_1 = z$ and $w_1 = w$?

6. Bibliographical remarks

The body of the material brought together in our survey has been (re)discovered by the author (with the main exception being Section 4, which was motivated by [21] to settle a question and an incorrect statement contained therein and noticed lately by the present author). To be more explicit, we first indicate how our work is related to other papers.

Sections 2 and 3 can essentially be considered as the condensed version of our earlier article [30].

Later, an extensive search in the literature resulted in a gradually expanding collection of references concerning the commutative equation, see further remarks below. (We particularly recommend the relevant sections of the interesting survey [25] also containing a host of references. For the sake of completeness, we have quoted all of those regarding (1) explicitly.)

Nevertheless, it seems that there are still some results of ours which, to the best of our knowledge, were not published by other authors. These include (the already mentioned) Section 3 and Section 5 – with the exception of the content of Proposition 5.2 – as well as formula (7).

Finally, some brief comments and additional information on other references will follow.

Euler [1] himself mentions formulae (3) and (5). Figure 1 appears in his book among the analyses of transcendental curves and he also gives some positive rational solutions of (1) based on (5). [2] indicates that for every $w \in \mathbb{C} \setminus \{0\}$ there exist (in general infinitely many) $z \in \mathbb{C} \setminus \{0\}$ such that (11) holds. [3] contains formula (5). [4] gives a series expansion for the function $\psi^{[-1]}(x)$. [7] and [8] determines the positive integer and positive rational solutions of (1), respectively. [9] reports other references concerning (1) from the same era. [11] mentions a trigonometric parametrization of the real solutions of (1). [12] interprets the solution curve of (1) as an evolute. [13] determines the positive rational solutions as well. [14] formulates (3) and analyses the behaviour of those coordinate functions. Problems indicated in [15] discuss (3) and (5) from various perspectives, but no solutions to those exercises are given in the book.

[16] proves that all integer solutions of (1) are (2, 4), (4, 2) and (-2, -4), (-4, -2). [17] examines (1) in algebraic extensions and in ideals.

Following [18] and [23], formula $(\chi_1(u), \chi_2(u))$ reveals a wonderful and truly remarkable hierarchy:

• if $u \in \mathbb{R} \setminus [-1, 0]$, it gives the positive *real* solutions of (1),

- if $u \in \mathbb{Q} \setminus [-1, 0]$, we get the solutions in the positive real algebraic field,
- if $\frac{1}{u} \in \mathbb{Z} \setminus [-1, 0]$, then it yields solutions in the ring of positive algebraic integers,
- if $u \in \mathbb{Z} \setminus [-1, 0]$, the formula gives the positive *rational* solutions,
- while if $u \in \{-2, 1\}$, it yields the positive *integer* solutions of (1).

(The last – seemingly strange – case and the role of the set [-1, 0] become clear if one turns back to Remark 3 in Section 2.1 about the reflection symmetry of the graphs of χ_1 and χ_2 .)

Positive rational solutions of (1) are included in [19] and also in [20]. (According to [20], equation (1) in the positive integers was among the problems of the Moscow Mathematical Olympiad in 1948.) [24] examines the associative property. [25] devotes one sentence to the solution of (2). Positive real solutions of the equation $a^a = b^b$ are determined by [28] (see [25] also), however this is trivial for us: perform the substitutions $a \mapsto \frac{1}{x}$ and $b \mapsto \frac{1}{y}$. (This way one obtains all positive rational solutions to the equation $a^a = b^b$ as well.)

[29] proposes various inequalities involving x^y and y^x (consult [25] again for further details). [31] mentions an interesting relation between the positive real solutions of (1) and the convergence of the "power-tower" sequence

$$a_1(x) := x$$
 and $a_{n+1}(x) := x^{a_n(x)}$

where $x \in \mathbb{R}^+$ and $n \to +\infty$. This sequence also appears in [15], and a variant in [26]. Refer – of course – to [25] as well.

For a functional representation of the *W*-function and of $x^{\frac{1}{x}} = y^{\frac{1}{y}}$, see [33], the latter equation being intimately connected to (1), see e.g. [32].

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